

**Managing coastal pelagic fisheries:
A case study on the small-scale purse seine fishery in Kenya**

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1 **Abstract**

2 Balancing sustainability and conservation concerns with the socioeconomic needs of
3 small-scale fishers is a dilemma that is commonly faced by fisheries managers. In this paper,
4 we present a case study on managing the developing small-scale purse seine (or ring net)
5 fishery introduced to Kenya by migrant fishers. The fishery, which primarily targets coastal
6 pelagics in offshore waters, was deduced to have the potential of reducing fishing effort on
7 nearshore demersal reef fish stocks while enhancing fisheries production and fisher
8 livelihoods. The expanding fishery elicited much controversy resulting in resource use
9 conflicts related to gear competition and concerns about the environmental impacts of the gear.
10 We detail the consultative planning process that was undertaken to develop a gear-based
11 management plan spanning over 10 years from 2004 to 2016. We briefly document the catch
12 dynamics and evolution of the fishery, and further detail the challenges and key outcomes of
13 the decision-making process. Regulatory measures agreed by stakeholders include restrictions
14 on gear dimensions as well as spatial restrictions defining the distance and depth of operation.
15 Effective implementation and enforcement of the measures will require collective action from
16 all stakeholders. Future considerations should focus on harmonization of proposed measures in
17 transboundary areas.

18 **Key words:** Ring net, small and medium pelagics, management plan, migrant fishers, Western
19 Indian Ocean

20

21 **1. Introduction**

22 Small-scale fisheries play an important role in food security and income for coastal
23 communities worldwide, particularly in developing countries (Berkes et al., 2001; Béné et al.,
24 2010; Isaacs, 2016). The fisheries are characterized by low capital investment, use of simple
25 fishing gears, small dominantly un-motorized vessels and tend to concentrate in shallow
26 nearshore areas (FAO, 2016). Globally, small-scale fisheries are exhibiting excess fishing
27 effort, overfishing, and habitat degradation driven by high population growth rates and poverty
28 levels (Worm et al., 2009; Fenner, 2012; Batista et al., 2014). Consequently, resource use
29 conflicts regarding access to fishing grounds, competition over declining fisheries resources
30 and markets abound (Bennett et al., 2001; Pomeroy, 2007; Murshed-e-Jahan et al., 2014).

31 Balancing sustainability and conservation concerns with the socioeconomic needs of
32 fishers is a dilemma that is commonly faced by fisheries managers (Salas et al., 2007;
33 McClanahan et al., 2008; Mumby and Steneck, 2008; Cinner, 2009). Assessment and
34 management of small-scale tropical coastal fisheries is inherently complex (Pauly, 1989;
35 Andrew et al., 2007; Batista et al., 2014), since they are open access, multi-species, multi-fleet
36 and multi-gear in nature (Berkes et al., 2001; Van der Elst et al., 2005; McClanahan et al.,
37 2008; Salas et al., 2008; Worm et al., 2009). Consequently, adoption of conventional
38 management approaches based on quantitative stock assessments is often not practical, while
39 gear-based and area-based approaches are viewed as suitable (Cinner et al., 2009). Effective
40 management and governance of small-scale fisheries is further constrained by inadequate
41 scientific data (Mora et al., 2009; Dowling et al., 2016), weak monitoring and enforcement
42 capacity (Nielsen et al., 2004; Gutierrez et al., 2011), lack of political good will (Ludwig et al.,
43 1993; Pauly et al., 2002; Carbonetti et al., 2014), limited alternative livelihood sources (Davies
44 et al., 2009; Daw et al., 2012), and external factors such as climate change (Brander et al.,
45 2010; Graham et al., 2011).

46 The complexities discussed above are well documented in Kenya (Glaesel, 2000; Kaunda-
47 Arara and Rose, 2004; Mangi and Roberts, 2007; McClanahan et al., 2008, Cinner, 2009;
48 Evans, 2009; Cinner et al., 2012a; Samoilyis et al., 2017). The number of small-scale fishers
49 involved has increased from about 9,000 in 2004 to over 13,400 in 2016 (Government of
50 Kenya, 2016a). The fishers land approximately 90% of the estimated 9,000 MT that is
51 produced annually (ASCLME, 2012; Le Manach et al., 2015). Pelagic fish production ranges
52 between 977 MT to 2,096 MT annually, accounting for 27% of the total catches (Maina and
53 Osuka, 2014). In comparison, the annual global pelagic fish production is estimated at 7.7
54 million tonnes (FAO, 2016). Small and medium pelagic species range in size from 10-20 cm
55 and 20-60 cm in total length respectively (Fréon et al., 2005), and contribute over 50% of the
56 global marine catches (FAO, 2016).

57 Kenya's National Oceans and Fisheries Policy emphasizes the distribution of fishing effort
58 to the offshore resources and targeting of new and under exploited stocks to realize economic
59 viability and resource sustainability (Government of Kenya, 2008). Thus, the emerging small-
60 scale purse seine fishery which targets pelagic fish resources in offshore areas was endorsed as
61 a strategy that would help alleviate fishing pressure on demersal reef fish stocks while
62 enhancing fisher livelihoods by increasing fishery production. As the use of small-scale purse
63 seines became widespread, resource use conflicts emerged due to heightened concerns about
64 resource competition, overexploitation and the environmental impacts of the gear (Ochiewo,
65 2004). To mitigate the conflicts, a consultative decision-making process was initiated by the
66 State Department of Fisheries to develop a gear-based management plan for the small-scale
67 purse seine fishery. In this study, we briefly describe the evolution and characteristics of the
68 developing fishery. We further detail the consultative process as well as the challenges
69 experienced and lessons learned from decision-making process. Finally, we discuss future
70 considerations to ensure effective implementation of the Plan.

71 **2. Methodological approach**

72 *2.1. Study area*

73 The Kenya coastline (Fig. 1) measures approximately 640 km long and is fringed with coral
74 reefs, mangroves, sea grass beds and intertidal mudflats which support a high diversity of fish
75 and other biota. The continental shelf ranges between 5 and 10 km wide with depths reaching
76 up to 200 m (UNEP, 1998). The climate is tropical with a long rainy season experienced
77 between March and May, and a short rainy season between November and December.
78 Seasonality in oceanographic conditions along the coast is driven by alternating southeast and
79 north easterly winds which influence the sea conditions as well as fishing activities
80 (McClanahan, 1988; Obura, 2001). Relatively calm and warm waters are experienced during
81 the northeast monsoon (NEM) season from November to March, and this coincides with high
82 fishing activity due to more accessible sea. The strong currents, rough and cool sea conditions
83 during the southeast monsoon (SEM) restrict most small-scale fishing operations to shallow
84 nearshore fishing grounds (Maina et al., 2008). The seasons and weather also affect fish
85 migration patterns, changing the behaviour of fishers with respect to target species and fishing
86 methods (Mangi et al., 2007). Sea surface temperature is generally higher during the NEM
87 season, fluctuating between 27 to 28°C and lower temperatures ranging between 24.5 and
88 25.8°C are recorded during the southeast monsoon (SEM) season, (UNEP, 1988; Obura, 2001).

89 The small-scale purse seine fishery is currently open access and there are no specific controls
90 or regulations on the use of the gear in Kenya. The Fisheries Management and Development
91 Act (2016) provides an overarching framework for the development of fisheries management
92 plans; which allows for subsidiary legislations arising from such plans to be developed and
93 gazetted. There has been a steady evolution in decision -making from a 'top down' centralized
94 approach towards a participatory and adaptive co-management approach through establishment

95 of Beach Management Units with specific area-based mandates (Government of Kenya, 2007;
96 Cinner et al., 2012b). Additionally, marine protected areas (MPAs) play an essential role in
97 sustaining and replenishing reef fish populations (McClanahan and Mangi, 2000; Kaunda-
98 Arara and Rose, 2004), and provide an avenue for ecosystem-based management as stipulated
99 by the Wildlife Conservation and Management Act (Government of Kenya, 2013).

100 *2.2. Characterization of the small-scale purse seine fishery*

101 *Data collection:* Existing literature was collated and reviewed to gather information on the
102 evolution of the fishery, augmented with information obtained through a series of stakeholder
103 consultations. Data for characterizing the fishery was based on biennial frame surveys (2004-
104 2016) conducted by Kenya's State Department for Fisheries (Government of Kenya, 2016a), as
105 well as catch assessment surveys conducted in Shimoni, Gazi, Vanga, and Kipini from 2008 to
106 2014 (see Fig. 1 for locations). The catch parameters recorded for each vessel sampled
107 (representing one fishing trip) included fishing gear used, fishing grounds, number of crew
108 onboard, and total weight of the catch. The entire landed catch was sampled for species
109 composition and sizes for most gears. However, a sample of approximately 10-20 % of the
110 total catch in weight (see Stotbutzki et al., 2001) was scooped using a 20 litre plastic bucket to
111 sample the species composition of exceptionally large catches. The fish were then sorted to
112 species level using identification guides (Smith and Heemstra, 1986; Lieske and Myers, 2001),
113 counted and fork or total length (cm) measured.

114 *Data Analysis:* The nominal catch per unit effort (CPUE) was estimated as kg/vessel/day and
115 kg/fisher/day. The annual value of the small-scale purse seine fishery landings and the number
116 of household members directly supported by the fishery was estimated using the average
117 CPUE assuming a boat activity coefficient (BAC) of 20 days per month (a probability that

118 fishers will be actively fishing for at least 20 days in a month) for the total number of vessels
119 reported during frame survey estimates.

120 Three measures of diversity: species richness expressed as the total number of species,
121 Shannon-Wiener diversity index (H') (Shannon, 1963) and k -dominance curves (Lampshead et
122 al., 1983) were used to describe the species composition of the fish catches. The use of
123 multiple measures of diversity is generally preferred to evaluate gear selectivity and
124 competition (e.g. Stergiou et al., 1996; and to understand ecosystem impacts (e.g. Greenstreet
125 and Rogers, 2006; Pillans et al., 2007; Zhang et al., 2009). Plotting of k -dominance curves was
126 based on the percentage cumulative abundance against log species rank to graphically compare
127 the species selectivity of the small-scale purse seines against other fishing gears.

128 *2.3 Stakeholder consultations towards development of management objectives and measures*

129 Stakeholder perceptions were documented throughout the consultative process through focus
130 group and plenary discussions in various meetings to elicit views and perceptions on issues
131 related to the fishery into two thematic groups: ecological/biological impacts and socio-
132 economic impacts. Nine major consultative meetings with stakeholders including scientists,
133 policy makers, fishery resource managers, fish traders, small-scale fishers, sport fishers,
134 conservation groups, and representatives of the tourism industry were held between 2005 and
135 2016, with participation ranging between 20 and 100 people. In addition, fifteen technical
136 working group meetings including four high-level policy meetings were held during the same
137 period. The emerging issues were further prioritized and mitigation measures formulated
138 consultatively.

139 **3. Characteristics of the small-scale purse seine fishery in Kenya**

140 *3.1. Gear operation*

141 The small-scale purse seine gear, commonly referred to as a ring net in East Africa, consists of
142 a surrounding net made of nylon twine of varied lengths, widths and mesh sizes (FAO, 2001;
143 Samoilys et al., 2011). A float or surface rope is attached to the net with a series of floats to
144 provide buoyancy, and a shorter lead rope weighted with brass or lead rings spaced every 3 to
145 4 m along a foot rope or purse line is attached to the lower edge of the net (Fig. 2). The net also
146 has a central bag or punt (with a smaller mesh) in which the fish concentrate during “pursing”
147 or hauling as the two wings are hauled together. The net dimensions vary with lengths ranging
148 between 90-300 m, widths ranging from 15-30 m and mesh sizes ranging from 0.25-11 inches.

149 During fishing operations, a single motorized vessel ranging between 8 and 15 m in length is
150 used, powered by a 40 to 60 hp outboard engine. A crew of 9 to 45 fishers are involved in the
151 fishing operations depending on the size of the vessel and net. The crew divide into smaller
152 teams with assigned roles that include visual searching of fish schools, net deployment and
153 hauling. The searching team uses various indicators to locate fish aggregations such as flocking
154 seabirds, fish activity within the surface waters through snorkeling or SCUBA diving. The
155 fishing depths are reported to range from 9.9 ± 1.6 m to 54.4 ± 2.7 m and operating between
156 2.6 and 10.5 nautical miles offshore (Munga et al., 2010). The deployment team quickly lowers
157 the net, at times tying gunny bags with 2 to 3 kg of beach sand to the foot rope to increase
158 sinking speed. The searching team slowly encircles the net around the school of fish after
159 which the purse-line is slowly hauled until the bottom of the net closes. When the last 10 to 15
160 m of net is remaining in the water, the net is pulled on board thereby concentrating the fish in
161 the smaller-sized meshed bag. The fish are then hauled from the pursed sections of the net into
162 the boat.

163 *3.2. Evolution of the fishery*

164 The fishery evolved as an adaptation of beach seines and was introduced to migrant fishers in
165 Tanzania in 1975 by Greek fishers (Brownell, 1982). The migrant fishers introduced the gear
166 to south coast of Kenya at Vanga in the early 1990s, and later spread to Gazi and Msambweni
167 at the south coast of Kenya; and further north towards Mtwapa, Kilifi, Watamu, Malindi and
168 Kipini (Fig. 1). The fishery is generally characterized by seasonal migrations of fishing units
169 between local fishing grounds in response to various factors including the migration patterns of
170 target species and seasonal conditions which may affect the accessibility of some fishing
171 grounds (Fulanda et al., 2009; Crona et al., 2010; Wanyonyi et al., 2015, 2016). During the
172 SEM season, the fishers prefer to fish within sheltered and relatively accessible fishing grounds
173 and migrate to other fishing grounds when weather conditions improve during the NEM
174 season. For example, fishers from Vanga migrate to Gazi and Kipini, while fishers from
175 Malindi migrate to Kilifi (pers. obs. authors). Fishing grounds in Vanga are however relatively
176 sheltered and tend to be fished throughout the year.

177 *3.3. Catch and effort dynamics*

178 The small-scale purse seine gear yields higher catch rates compared to other gears and also
179 requires the highest fishing effort in terms of number of fishers involved per vessel (Table 1).
180 Among the study sites, catch rates range from 9.4 kg/fisher/day in Gazi (Maina et al., 2008),
181 15.1 kg/fisher/day in Shimoni-Vanga and 15.4 kg/fisher/day in Kipini (Munga et al., 2010). At
182 Vanga, small-scale purse seine account for 75% of the total landings sampled by weight
183 compared to 4% in Shimoni and 41% in Gazi (Fig. 4). The results indicate that the gear is
184 highly efficient and is likely to compete with other gears that target the same resource if used
185 within the same fishing grounds. The fishing effort in terms of number of fishers increased
186 from 15 in 2004 to 861 in 2016 (Government of Kenya, 2016a). Likewise, the number of gears
187 also increased from 1 in 2004 to 40 in 2016, with 71% of the total number operating in Kwale

188 County (Fig. 3). The total annual production of the small-scale purse seine fishery, during the
 189 main fishing season (November-March), is conservatively estimated at ~1,082 MT valued at
 190 USD ~1.1 Million (USD\$ = KES 100). This implies a contribution of approximately 12% of
 191 the total marine fisheries catches in Kenya, which is produced by 7-10% of the total number of
 192 fishers. Assuming an average fisher household dependency of 7.7 (Degen et al., 2010), small-
 193 scale purse seine fishers support ~7,400 household members.

Table 1. Summary of nominal catch rates and effort dynamics of major fishing gears targeting small and medium pelagics at the south coast of Kenya

Gear	Kg / Vessel / Day		Kg / Fisher / Day		No. Crew / Vessel	
	Mean	Std Err	Mean	Std Err	Mean	Range
Small-scale purse seines	349.13	37.1	15.1	2.30	31	9-45
Large mesh gillnets	33.71	11.91	8.25	0.86	4	2-6
Reef seines	45.65	19.24	4.16	0.26	8	2-16
Small mesh gillnets	16.49	2.52	7.23	0.28	3	1-8
Beach seines	14.0	3.24	2.77	0.07	6	4-13
Handlines	8.18	0.84	4.53	0.12	2	1-5

194 3.4. Species composition of small-scale purse seine catches

195 Small and medium pelagic species constitute an average of 73% of the small-scale purse
 196 seine catches. The dominant pelagic species captured include Carangidae (8 species: *Caranx*
 197 *ignobilis*, *Carangoides ferdau*, *Carangoides gymnothetus*, *Carangoides bajad*, *Caranx*
 198 *sexfasciatus*, *Seriola lalandi*, *Gnathanodon speciosus*, *Elagatis bipinnulatus*), Sphyraenidae (3
 199 species: *Sphyraena jello*, *Sphyraena forsteri*, *Sphyraena obtusata*), Scombridae (6 species:
 200 *Euthynnus affinis*, *Thunnus albacores*, *Katsuwonis pelamis*, *Auxis thazard*, *Scomberomorus*
 201 *commersoni*, *Scomber japonicas*), mackerels: *Rastrelliger kanagurta*, Hemiramphidae sp and
 202 Belonidae sp (Fig 5). The species composition of the landed catches varies between fishing

203 grounds. For example, the landed catches in Shimoni-Vanga and Kipini are dominated by
204 Carangidae, Scombridae and Sphyraenidae albeit in different proportions, while landed catches
205 in Gazi are dominated by Scombridae. However, demersal reef species constitute between 16%
206 and 38% of the total catches in biomass at the sites, and include Lutjanidae, Siganidae,
207 Lethrinidae, Acanthuridae, Haemulidae, Drepanidae, Mullidae, Gerridae and Mugilidae. Night
208 time fishing for sardines (Clupeidae) and silversides (Atherinidae) using lamps also occurs.

209 On average the total number of species captured daily per vessel was similar to handlines
210 but was among the lowest overall based on pooled data (Table 2). The *k*-dominance curves of
211 species captured revealed that small-scale purse seines have a higher dominance with relatively
212 fewer species when compared to most of the other gears (Fig. 6; Table 2). This is likely due to
213 the schooling nature of the target species. However, there are seasonal variations in the
214 diversity and species richness of the catches, with a higher diversity of fish species being
215 captured during SEM season (Table 2). The gear exhibits higher species diversity during the
216 SEM season, in contrast to other gears which catch a higher diversity of species during the
217 NEM season. From a management perspective, the higher species diversity during the SEM
218 season may reflect the tendency for small-scale purse seine fishers to shift closure to shallower
219 less turbid areas inshore, where they capture reef associated species when the catchability of
220 their target species is reduced due to rough sea conditions. This may also occur when fishers
221 modify other gears used in shallow areas, such as reef seines or beach seines, to operate like
222 small-scale purse seines. The modified nets are often confused with the small-scale purse

223 seines, and this has been a conflict issue when they fish within the shallow coral reef areas and
 224 encroach into marine reserves.

225 **Table 2.** The diversity small-scale purse seine landings in comparison to other small-scale
 226 fishing gears used in south coast of Kenya.

227

Gear	No. Families/ Vessel / Day		No. Species/ Vessel / Day		Total No. Species		Shannon Wiener Diversity (H')		
	Mean	Max	Mean	Max	NEM	SEM	NEM	SEM	Mean (SD)
Small-scale purse seines	3	7	3	10	12	20	1.5	2.6	2.0 (0.78)
Basket traps	3	8	4	13	71	40	2.8	2.7	2.8 (0.1)
Beach seines	5	8	7	11	15	27	2.1	2.2	2.2 (0.03)
Large mesh gillnets	3	5	2	5	11	8	1.7	1.4	1.6 (0.21)
Spearguns	5	8	6	14	67	41	3.2	2.7	2.9 (0.35)
Small mesh gillnets	3	12	4	17	37	27	2.9	2.3	2.6 (0.44)
Handlines	2	7	3	14	75	58	3.3	3.0	3.1 (0.21)

228

229 **4. Stakeholder consultations, management objectives and measures**

230 Stakeholder participation has been emphasized as an important component of the decision
 231 making process (Jentoft and McCay, 1995; Gleason et al., 2010, 2013; Fox et al., 2013; Sayce
 232 et al., 2013). The stakeholder consultative process to develop a management plan for the small-
 233 scale purse seine fishery in Kenya was undertaken over 10 years (Fig. 7). A temporary
 234 suspension of the fishery was instituted in 2004 by the Minister of Fisheries, and a Taskforce
 235 was convened to conduct a rapid assessment of the fishery and provide recommendations on
 236 the way forward. Representation in the Taskforce included resource managers, scientists, the
 237 fishing industry (both commercial and recreational), and advocacy groups. Guided by
 238 recommendations from the Taskforce, a smaller Technical Working Group was constituted in
 239 2010 to spearhead drafting the management plan and stakeholder consultations. Following a
 240 process of stakeholder consultations and deliberations that took about 8 months, the Taskforce
 241 recommended development of a management plan for the gear.

242 Perspectives on use of the gear were mixed among different stakeholder groups (Table 3).
 243 Those supporting the gear argued that it has a high potential for increasing fish production,
 244 thereby increasing food security and enhancing the livelihoods of local fisher communities. On
 245 the other hand, those against the gear argued that many of the perceived benefits from the
 246 fishery were relatively short-term and would potentially result in longer-term negative effects
 247 such as overfishing if not well managed. Interestingly, the gear was more tolerated in the
 248 southcoast, particularly in Vanga, Shimoni, and Gazi and less tolerated in the northcoast areas
 249 of Kilifi, Watamu, and Malindi where resource use conflicts were more intense. In 2002,
 250 incidents of resource use conflicts were observed at the northcoast of Kenya in Kilifi and
 251 Malindi (see Fig. 1) which further intensified during 2004/2005. Fishers from those areas
 252 complained that the high volumes of fish landed by small-scale purse seine fishers would lead
 253 to overfishing, and was resulting in unfair market competition due to flooding of local markets
 254 and plunging of fish prices. Concerns of overfishing by small-scale purse seines have been
 255 documented elsewhere e.g. Sri Lanka (Maldeniya and Dayaratne, 1991), and Philippines
 256 (Green et al., 2004). No comprehensive assessment of the fishery has been undertaken in
 257 Kenya or the WIO region to provide such evidence; however, there is ongoing work to
 258 establish population and exploitation parameters of some key target species (Munga et al.
 259 2015). Other stakeholders were also concerned about the deployment of the gears within
 260 shallow areas close to reefs or in proximity to marine reserves leading to breakage of coral and
 261 capture of juveniles and non-target species, many of which would be discarded. The use of
 262 sandbags as sinkers was also suspected to be having detrimental effects on the benthic
 263 environment.

264 **Table 3.** Stakeholder concerns on the small-scale purse seine (ring net) fishery in Kenya.

Stakeholder Group	Concerns
Other small-scale fishers	<ul style="list-style-type: none"> • Sharing of fishing grounds, resulting in competition for space and gear

	<ul style="list-style-type: none"> • Oversupply of fish in the market and unfair market competition • Targeting of reef associated species and spawning aggregations
Recreational sport fishers	<ul style="list-style-type: none"> • Overexploitation of target pelagic fish species competing with recreational fishery • Sharing of fishing grounds
Tourism sector	<ul style="list-style-type: none"> • Fishing marine reserves and recreational areas
Environmental advocacy groups	<ul style="list-style-type: none"> • Destruction of fish habitats through snaring of nets on corals • Fishing in marine reserves and nearshore areas • Targeting of reef associated species and spawning aggregations

265 In developing the management objectives and measures for the Plan, it was appreciated that
266 scientific data on the fishery and biological status of the target stocks was limited. Guided by
267 the FAO Code of Conduct for Responsible Fisheries (FAO, 1995), the precautionary approach
268 was adopted due to limited availability of scientific information. This was augmented by
269 integrating an adaptive management approach in respect to future improvements in scientific
270 data and information on the fishery. The hierarchy of the Plan showing management objectives
271 and the proposed actions jointly agreed by stakeholders is presented in Fig. 8. The overall
272 objective of the Plan is to enhance responsible exploitation of coastal pelagic fish stock by
273 regulating sustainable fishing practices that minimize resource use conflicts while providing
274 long-term biological and socio-economic benefits including food security, employment
275 creation, and national revenues. The regulatory measures include restrictions on gear
276 dimensions (depth and width) and spatial controls. The spatial controls will help to control
277 fishing effort by limiting the fishing depth to a minimum 30 metres within designated zones
278 and a specified distance from coral reef areas to ensure the fishery is operated well beyond the
279 coral reef slope and therefore not targeting reef fish species or operating with known areas of
280 spawning aggregations e.g. in Msambweni at the southcoast (Maina et al., 2013, see Fig. 1).
281 Species that periodically aggregate to spawn are likely to be targeted by the fishery, which can

282 lead to overexploitation (Sadovy de Mitcheson, 2008). To support enforcement of spatial
283 controls, mandatory use vessel tracking devices during fishing is stipulated. Research to track
284 and map out the small-scale purse seine fishing activities is also being undertaken to inform
285 this measure. Setting of output based catch controls such as a Total Allowable Catch (TAC) for
286 key target species was not done due to uncertainties in stock assessment (Munga et al., 2015).
287 Although the Plan provides for setting such controls, such measures are technically difficult to
288 enforce.

289 There were mixed views on the proposed measures. Fishers engaged in the fishery were
290 concerned that the zoning measures would limit access to certain fishing grounds, while other
291 small-scale fishers generally had a positive reaction and acknowledged that the measures
292 would help in reducing resource use conflicts by limiting encroachment to shallow reef areas
293 and the capture of reef fish. There was also a general concern from the technical experts that
294 were consulted that Plan would require considerable investment in monitoring and enforcement
295 for compliance, which may be challenging given the limited resources. Towards this, the Plan
296 defines institutional arrangements for implementation with clear roles, and proposes the
297 establishment of a committee to steer the implementation process. However, effective
298 implementation will be under-pinned on the collective action and commitment from all
299 stakeholders towards contributing to the technical, financial and human resources needed.

300 Collaboration and strong partnerships among all stakeholders will be crucial in ensuring
301 compliance to meet the monitoring, control and surveillance (MCS) capacity needs. However,
302 there will be need to strengthen local level governance by building capacity to enhance
303 compliance and self-policing among the beach management units (BMUs).

304 Towards gazettelement, the Plan has been subjected to various stages of vetting and approval by
305 the County and National levels of governments in 2014, and efforts are underway to develop

306 the subsidiary legislations in line with the new Fisheries Management and Development Act
307 (Government of Kenya, 2016b).

308 **5. Key lessons learned**

309 Decision making for data-poor small-scale fisheries is generally challenging due to inadequate
310 information and technical capacity to formulate effective harvest strategies (Dowling et al.,
311 2014). In this case, adoption of a precautionary approach which takes into consideration a
312 multiplicity of social, cultural, economic and political management objectives may be the only
313 realistic option (McConney and Charles, 2008; Smith et al., 2009). This case study
314 demonstrates the strides made in Kenya, towards a more holistic and participatory approach in
315 the management of an emerging small-scale purse seine fishery. The results of this study
316 provided a baseline for decision-making, and highlighted areas of uncertainty for precautionary
317 management. The following experiences and lessons can serve an example to other countries
318 tackling similar management issues:

- 319 • Emerging fisheries have a multitude of uncertainties due to inadequate data, therefore
320 precautionary and adaptive measures should be undertaken early during the developmental
321 stages;
- 322 • The management planning process can be constrained by bureaucracy and uncertainties about
323 funding which can pro-long the duration. Consequently, the high expectations for immediate
324 action from stakeholders can be diminished leading to mistrust and suspicion. For example,
325 government officials were at times suspected to be lax and accused of having direct economic
326 interest on the fishery (Standing, 2008). Thus, goodwill from all concerned parties is
327 essential. This should be augmented by a committed technical team of individuals who are
328 willing to put in the time and hard work to maintain momentum, despite any drawbacks.

329 •Due to competing interests, stakeholders will have divergent opinions some of which can
330 derail the planning process. Maintaining transparency in the decision-making process is
331 critical and requires continuous communication and engagement with the industry and other
332 stakeholders.

333 •Building the information base to support decision making in the management of an emerging
334 fishery is crucial. Therefore, an effective data collection and monitoring system should be
335 established early. Dowling et al., (2014) note that appropriate management indicators and
336 precautionary trigger levels should be identified during the early developmental phases of a
337 fishery. This assessment contributes some and essential fishery indicators on the CPUE and
338 species composition which will be useful for monitoring the performance of the fishery. Size-
339 based indicators such as the mean length and maturity size of key target species are also
340 important for the future monitoring of the fishery.

341 **6. Future considerations**

342 Future considerations should focus on building capacity for fisheries practitioners on the
343 application of decision support tools (e.g. Dowling et al., 2016). This will be useful in
344 developing cost effective management actions. In the long term, regular feed-back and
345 consultations with fisheries managers, scientists, fisher communities and other stakeholders as
346 more knowledge on the fishery is attained will support the revision of the management
347 controls. Effort should also be put towards the harmonization of measures in transboundary
348 areas due to the migratory nature of small-scale purse seine fishers within the Western Indian
349 Ocean region.

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FIGURE CAPTIONS

Fig. 1. A map of Kenya showing the main fishing grounds used by small-scale purse seine fishers along the Kenya coast.

Fig. 2. Illustration of a small-scale purse seine net (adapted from Fry, 1930).

Fig. 3. Temporal trends in small-scale purse seine fishing effort (number of vessels and fishers) in three Counties of the Kenya coast. No data was collected on the number of fishers in 2008.

Fig. 4. Percentage contribution of different fishing gears to the total landings in Shimoni, Vanga and Gazi, southcoast of Kenya.

Fig. 5. The general composition of small-scale purse seine catches landed at Shimoni-Vanga and Gazi in the southcoast and Kipini in the northcoast of Kenya, from 2008-2014.

Fig. 6. Comparison of k -dominance curves of the catch composition of common fishing gears used in south coast of Kenya.

Fig 7. Evolution of the developing small-scale purse fishery in Kenya and the management planning process

Fig. 8. The hierarchy of Kenya's small-scale purse seine fishery management plan, showing the overall objectives (tier 1), specific objectives (tier 2) and management measures (tier 3). Shaded boxes depict regulatory measures.

